

06/27/01

06-28-01

7

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 09792909-5087 First Named Inventor or Application Identifier Masatsugu Suwabe Express Mail Label No: EL281568832US				
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231						
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ACCOMPANYING APPLICATION PARTS				
1. <input checked="" type="checkbox"/> Specification Total Pages <u>34</u> 2. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Pages <u>3</u> 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>2</u> a. <input type="checkbox"/> Unexecuted(original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 14 completed)</i> <i>[Note Box 4 Below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b). <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		5. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documentation) <u>SONY CORPORATION</u> 6. <input type="checkbox"/> Letter under 37 CFR 1.41(c). 7. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input type="checkbox"/> Preliminary Amendment 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Statement(s) Status still proper and desired 12. <input checked="" type="checkbox"/> Copy of Priority Japanese Patent Application No: P2000-202682, filed July 4, 2000.				
14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional Continuation-in-part (CIP) <input type="checkbox"/> of prior application No: -----						
CLAIMS AS FILED						
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE	
	TOTAL CLAIMS	20	8	0	\$18	\$0
	INDEPENDENT CLAIMS	03	6	3	\$80	\$240
		ANY MULTIPLE DEPENDENT CLAIMS?				\$0
		<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$950
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> A check in the amount of \$950 to cover the filing fee is enclosed.						

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$950 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
SONNENSCHEIN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080

U-11

SIGNATURE: Dana C. Meltzer
(No. 32,919)

DATE: June 27, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Masatsugu Suwabe DOCKET NO. 09792909-5087

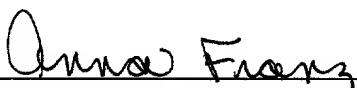
SERIAL NO.

DATE FILED:

INVENTION: "MAGNETO-OPTICAL RECORDING MEDIUM AND PROCESS FOR
MANUFACTURING A MAGNETO-OPTICAL RECORDING
MEDIUM"**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail" Mailing Label Number EL281568832USDate of Deposit June 27, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent, Washington, D.C. 20231:

1. Utility Patent Application Transmittal
2. Filing Fee \$950
3. Specification (34 Pages)
4. Drawings (3 Sheets)
5. Copy of Priority Japanese Patent Application No: P2000-202682
6. Declaration and Power of Attorney (Executed)
7. Recordation Form
8. Assignment
9. Assignment Filing Fee \$40
10. Postcard
11. Express Certificate of Mailing No: EL28156832US



Signature of Person Mailing Application